

Influence of Nb^{5+} and Sb^{3+} dopants on the defect profile, PTCR effect and GBBL characteristics of BaTiO_3 ceramics

E. Brzozowski, M.S. Castro*

*Institute of Materials Science and Technology (INTEMA) (Universidad Nacional de Mar del Plata—CONICET),
Av. Juan B. Justo 4302, (B7608FDQ) Mar del Plata, Argentina*

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Abstract

In this work, a study of the electrical properties and defect structure of PTCR (positive temperature coefficient of resistance) and GBBL (grain boundary barrier layer) BaTiO_3 -based ceramics was performed. For this study, different concentrations of Nb^{5+} or Sb^{3+} were employed for doping BaTiO_3 . Based on the Heywang–Jonker model for PTCR materials, the density of acceptor states and the potential barrier height were calculated. Doping BaTiO_3 with small amounts of Nb_2O_5 led to higher potential barriers than those in Sb_2O_3 -doped ceramics. From EPR analyses performed on the complete set of samples, paramagnetic defects were investigated. Hence, a relationship between the defect profile developed in the BaTiO_3 materials and the transition from a low resistivity to a high resistivity material was established. From this study, an increase in the titanium vacancies relative concentration along with an increment in the electrical resistivity measured at room temperature as the dopant content increases was confirmed.

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1. Introduction

Because of its large energy gap, chemically unmodified BaTiO_3 is an electrical insulator. However, barium titanate becomes a semiconducting material after the partial substitution of Ba^{2+} by trivalent cations, or Ti^{4+} by pentavalent cations.^{1–3} In this case, conduction occurs through an electron-hopping mechanism.⁴ Controlled incorporation of donor dopants in combination with an optimised ceramic process lead to PTCR (positive temperature coefficient of resistance) materials.^{5,6} The PTCR effect in polycrystalline BaTiO_3 is characterised by a dramatic rise in the electrical resistivity across the tetragonal (ferroelectric) to cubic (paraelectric) transition around the Curie temperature (T_c).^{7,8} Heywang⁹ explained the PTCR effect in terms of double Schottky barriers at the grain boundaries. According to this model, these barriers result from electron trapping by acceptor states at the interfaces. Later on,

Jonker^{10–12} extended the model considering the influence of polarisation on the resistivity below the Curie point.

According to the Heywang model, the barrier height is given by:

$$e\phi(T) = e \cdot N_c^2 / (8 \cdot \epsilon_0 \cdot \epsilon_r \cdot N), \quad (1)$$

where T is the absolute temperature (K), e the electron charge, ϵ_0 is the dielectric constant of the free space, ϵ_r is the relative permittivity of the BaTiO_3 , N the donor concentration and N_c the temperature dependent concentration of the occupied acceptor states.

Based on the Fermi–Dirac statistics, the next equation determines N_c :

$$N_c = N_s / (1 + \exp(E_F + e\phi(T) - E_s)/kT), \quad (2)$$

where $E_F = kT \cdot \ln(N_c/N)$ is the Fermi level, N_s is the density of acceptor states, E_s is the energy of the surface state related to the bottom of the conduction band, k the Boltzmann constant, T the temperature,

* Corresponding author. Fax: +54-223-4810046.

E-mail addresses: ebrzozow@hotmail.com (E. Brzozowski), mcastro@fi.mdp.edu.ar (M.S. Castro).

$N_C = 1.56 \times 10^{28} \text{ m}^{-3}$ the conduction electrons (the effective density of states, equal to the number of Ti ions per m^3).^{12,13}

The effective resistivity of the sample follows the equation:

$$\rho_{\text{eff}} = \rho_g [1 + (b.k.T/d.e\phi(T)).\exp(e\phi(T)/kT)], \quad (3)$$

where $b = N_c/2N$ indicates the effective thickness of the grain boundary region, ρ_g is the grain resistivity, and d is the average grain size. The donor concentration (N) is related with the grain resistivity according to:

$$N = (\mu.e.\rho_g)^{-1}, \quad (4)$$

where μ is the electron mobility, and ρ_g the grain resistivity.

The barrier height is related with the measured dielectric constant as follows:

$$e\phi(T) = e.d.N_c/8.\epsilon_0.\epsilon_m, \quad (5)$$

where $\epsilon_m = \epsilon_r (d/2b)$ is the measured dielectric constant.

In the Heywang model, acceptor states arise from segregated impurities at the grain boundaries, whereas Jonker assumes that these surface states come from adsorbed oxygen.^{11,12} On the other hand, studies by Daniels et al.^{14,15} suggested that acceptor states are originated from barium vacancies. In tetragonal BaTiO_3 , barium vacancies are neutral but above T_c , they trap electrons and become activated to singly ionised barium vacancies. Then, an electrically negative-charged region at the grain boundary and a positive space region adjacent to the boundaries are created, increasing the potential barrier. Since trapped electrons are not available for conduction, an increase in the electrical resistivity across the tetragonal-cubic transition of BaTiO_3 is observed.^{16,17}

In this work, the effect of two dopants Nb^{5+} and Sb^{3+} on the defect profile and on the electrical properties of BaTiO_3 -based materials was studied. Based on the Heywang–Jonker model for PTCR materials, the effect of these additives on the Schottky potential barriers at the grain boundaries in slightly-doped

BaTiO_3 was analysed. A subsequent discussion concerning the electrical properties of highly-doped materials completed this work. In both cases, the relationship between the electrical response at room temperature and the charge compensation mode was established.

2. Experimental

Samples were prepared from commercial BaTiO_3 powders (TAM Ceramics Inc., Sr < 150 ppm as principal impurity, average grain size 0.84 μm , Ba/Ti = 1) and 0.05, 0.15 and 0.60 mol% of Nb_2O_5 (Fluka AG) or Sb_2O_3 (Aldrich). Starting powders were mixed by stirring in alcoholic medium with a high-speed turbine at 6000 rpm for 5 min. After drying, mixtures were crushed into powders and sieved through a 100 μm mesh screen. Finally, isostatically pressed cylinders (200 MPa) were sintered at 1350 $^\circ\text{C}$ for 2 h (heating and cooling rate: 3 $^\circ\text{C}/\text{min}$). Table 1 summarises the characteristics of the studied samples.

In order to determine the average grain size (d), microstructures of the polished and thermally etched samples were analysed by SEM (Philips 505 microscope). X-ray diffraction (XRD) study of the BaTiO_3 samples was carried out on sintered samples, using a Philips PW 1050/25 equipment with CuK_α radiation and a Ni filter, at 40 KV and 30 mA. From the (002) and (200) diffraction profiles, a semi-quantitative study of the cell distortion was performed. To characterise the paramagnetic species in the crushed samples at room temperature, a Bruker ER-200D (Band X) EPR spectroscope with a gain of 2×10^4 , a power of 5dB and modulation amplitude of 6.3Gpp was used. The EPR signal intensities were labelled as double integrated intensities (DII) calculated from the empirical relation reported by Murugaraj et al.:¹⁷

$$\text{DII} = [(\text{signal height}).(\text{signal width})] / [(\text{gain}).(\text{sample mass}).(\text{modulation amplitude}).(\text{power})^{1/2}], \quad (6)$$

Table 1
Characteristics of the samples

Sample	Nb_2O_5 (mol%)	Sb_2O_3 (mol%)	d (μm)	Crystallographic system
P1	0.05	–	100	Tetragonal
P2	0.15	–	40	Pseudocubic
P3	0.60	–	2	Pseudocubic
P4	–	0.05	10	Tetragonal
P5	–	0.15	3	Pseudocubic
P6	–	0.60	2	Pseudocubic

Finally, for electrical measurements, samples were painted with a silver-palladium paste. All the electrical properties were measured from 20 to 160 °C by using the two-probe method. Electrical resistance was measured with an electrometer (Keithley 614) applying 0.01 V. The measured dielectric constant was calculated from the capacitance according to:

$$\varepsilon_m = C.t / (\varepsilon_0.S) \quad (7)$$

where C is the capacitance, t the thickness of the sample and S the area of the electrode. The capacitance was measured using a HP 4284A LCR analyser at 1 kHz. The grain-bulk resistivity of the different samples was

taken from impedance analysis performed from room temperature to 400 °C in a Hewlett Packard (4192A-LF) analyser, using zero dc applied voltage, an amplitude of 1V and a 5 Hz–13 MHz frequency range.

3. Results

Fig. 1 shows the SEM images of the samples. In samples P1, P2, P4 and P5, a bimodal grain size distribution is observed. In these cases, grains of about 100 μm coexist with a fine-grained matrix (grain size about 1 μm). Exaggerated grain growth in modified BaTiO₃, is

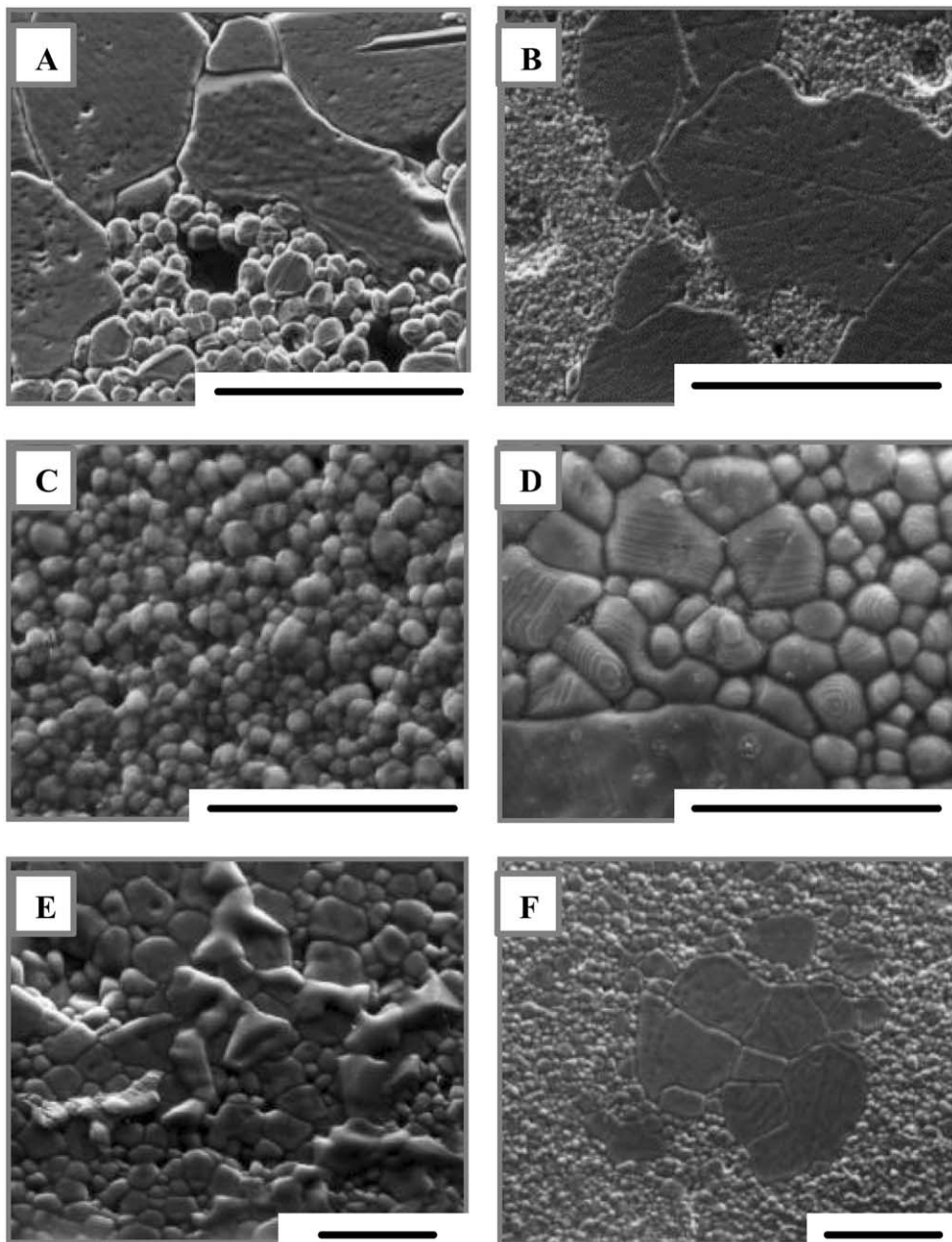


Fig. 1. SEM microphotographs of (A) P1, (B) P2, (C) P3, (D) P4, (E) P5 and (F) P6. Bar: 100 μm (A, B), 10 μm (C–F).

frequently associated to a non-uniform distribution of the dopant oxide in the BaTiO_3 powder.¹⁸ Donor dopant restricts grain growth of BaTiO_3 at the sintering temperature and then, a strong inhibition of the grain growth in those dopant enriched regions is achieved. Samples with low dopant concentration heated for 2 h do not allow complete diffusion of dopants into pre-reacted BaTiO_3 . However, it is possible to obtain homogeneous and completely fine-grained microstructures after doping with 0.60% of Nb_2O_5 (Fig. 1C, sample P3) or Sb_2O_3 (Fig. 1F, sample P6).

In Table 1, the average grain size (d) and the predominant crystallographic system are summarised. In fine-grained materials, the development of significant mechanical stresses in the grains lead to the stabilisation of a pseudocubic-structure.^{7,8} Besides, symmetry of the diffraction peaks (Fig. 2) diminishes as the dopant content increased. According to Valot et al.,¹⁹ symmetrical XRD signals result from the diffraction of ferroelectric domains. It is also worth noting that the “plateau” between these both peaks reported by the mentioned authors is a fingerprint of the mismatch among the domain walls in these ceramics.¹⁹ On a similar way, Buscaglia et al. reported a diminution in the symmetry of the XRD peaks associated to the existence of at least two chemical and structural different regions within the grains of doped- BaTiO_3 .²⁰ Therefore, seems to be a link between the incorporation of dopant and the evolution

from a ferroelectric to a paraelectric structure in the Sb and Nb-doped samples studied here.

On the other hand, EPR analysis shows two kinds of paramagnetic signals at $g=2.004$ and $g=1.973$ and a weak signal at $g=1.932$ in sample with antimony addition. Murugaraj et al. assigned the EPR signal with giromagnetic constant $g=1.997$ to barium vacancies ($V_{\text{Ba}'}$) and the signal with $g=1.963$, to singly ionised oxygen vacancies ($V_{\text{O}'}$).¹⁷ A recent article on BaTiO_3 defects related the EPR singlets with $g=2.003$ and 1.973 to intrinsic point defects, V_{Ti} (probably $V_{\text{Ti}'}$ or $V_{\text{Ti}''}$) and $V_{\text{Ba}'}$, respectively.²¹ Koodiazhyi et al. reported an increment of the EPR intensity of both singlets at the Curie temperature in parallel with appearance of the PTCR effect.²¹ Several authors observed the signal at $g=1.932$ in n-semiconducting BaTiO_3 ceramics, which becomes negligible on further oxidation.^{17,21} Based on the literature we assigned the signal with $g=1.932$ to several Ti^{3+} -related paramagnetic defects, such as Ti^{3+} , $\text{Ti}^{3+}-V_{\text{O}}$, or $\text{Ti}^{3+}-V_{\text{O}}-\text{K}(\text{Na})$.^{21,22} Besides the mentioned singlets, a sextet lying at $g=1.974$ was attributed to Mn^{2+} impurity in BaTiO_3 .^{17,21} The second EPR signal Table 2 shows the double integrated intensity of EPR signals (DII) corresponding to the mentioned species for each sample.

Samples P1, P2, P4 and P5 are blue in colour and exhibit a PTCR effect at the Curie temperature (Fig. 3). In these samples, curves of ϵ_r vs. T follow a Curie–Weiss

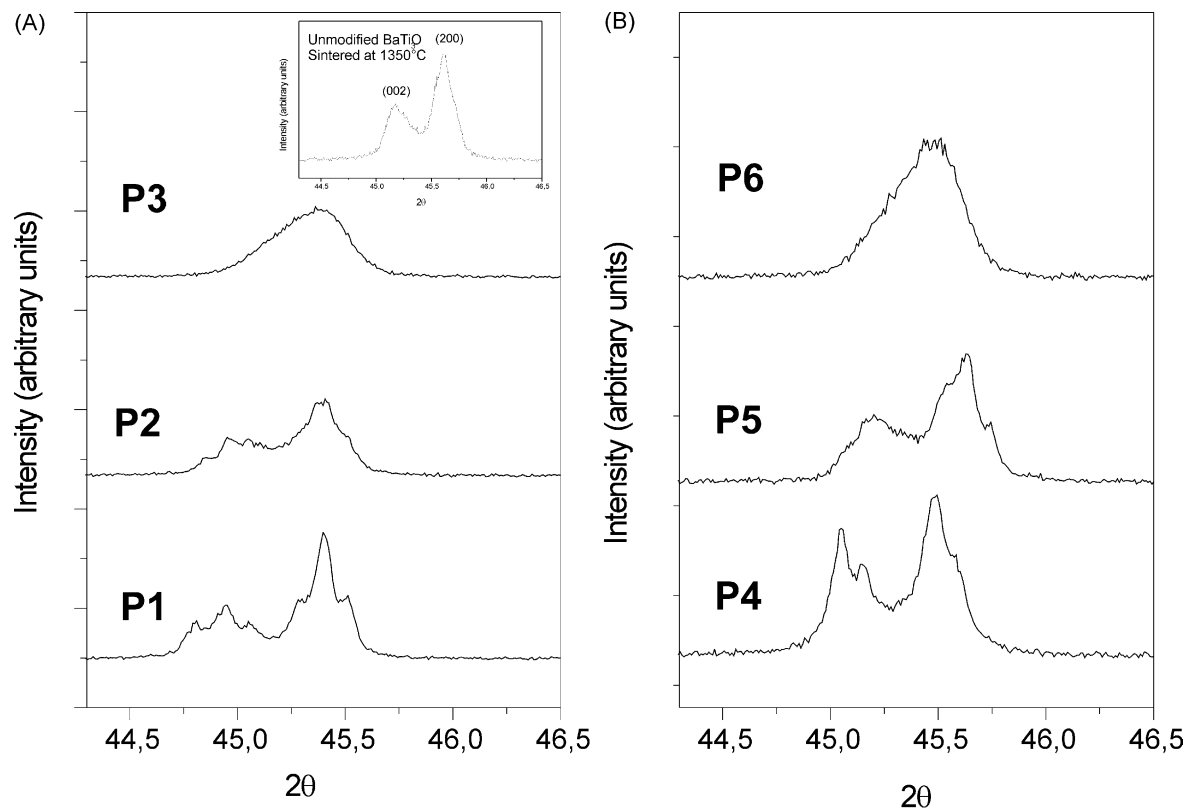


Fig. 2. XRD profiles of (A) Nb_2O_5 and (B) Sb_2O_3 -doped BaTiO_3 sintered at 1350 °C for 2 h.

Table 2
Double integrated intensity (DII) of EPR signals for the samples at $g = 1.932$, $g = 1.973$ and $g = 2.004$

Sample	DII at $g = 1.963$	DII at $g = 1.973$	DII at $g = 2.004$
P1	–	<0.5	0.5
P2	–	<0.5	2
P3	–	<0.5	60
P4	2.1	6	0.5
P5	1.3	3.5	0.5
P6	1.3	4	3.5

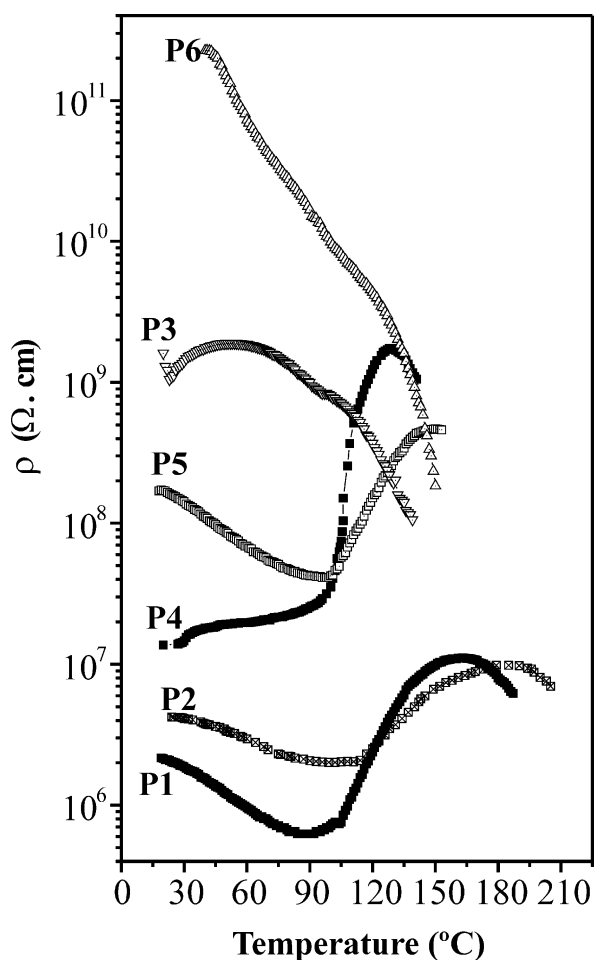


Fig. 3. Effective resistivity–temperature curves for Nb_2O_5 or Sb_2O_3 -doped BaTiO_3 .

behaviour, with a $T_c = 106$ and 102 °C for P1 and P2, respectively, while for P4 and P5 it is 96 °C (Fig. 4). On the other hand, highly-doped BaTiO_3 ceramics (P3 and P6) are yellow in colour and exhibit an extremely high resistivity ($\approx 10^{13} \Omega \cdot \text{cm}$) at room temperature, with a NTCR effect as the temperature raises (Fig. 3). In the curves of ε_r vs. T (Fig. 5), at least two regions could be distinguished contributing with different T_c values. In these samples, the highest T_c value ($T_{c\text{max}}$) yields on 102 °C (P3) or 94 °C (P6). The significant diminution in

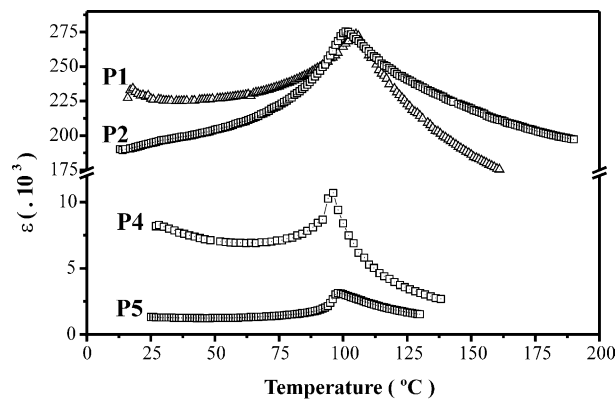


Fig. 4. Temperature dependence of the relative dielectric constant of samples P1, P2, P4 and P5.

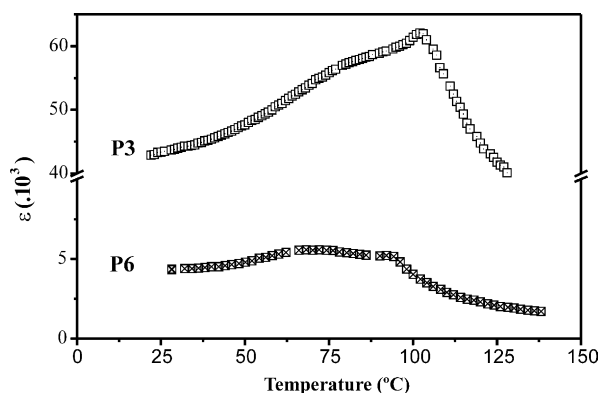


Fig. 5. Temperature dependence of the relative dielectric constant for samples P3 and P6.

the $T_{c\text{max}}$ registered in Sb_2O_3 -doped material indicates higher dopant incorporation in this material than in Nb_2O_5 - BaTiO_3 materials.

In the Heywang–Jonker model applied in PTCR ceramics, two parameters determined the barrier height at grain boundaries: the energy levels and the concentration of deep levels trapping electrons (N_s and E_s). These both parameters can be calculated from the resistivity–temperature curves and the Eqs. (1)–(5). Then, to determine the parameters of the Heywang–Jonker model (N_s , E_s , and e_ϕ) for the set of samples with PTCR effect, the iterative method reported in a previous paper²³ was employed. From the results, a good agreement between the experimental and calculated $\rho(T)$ curves for samples P1, P2, P4 and P5 is obtained. In Fig. 6A, experimental and calculated $\rho(T)$ vs. temperature curves for P1 are showed. Fig. 6B shows the calculated barrier height versus temperature curve for sample P1, while Fig. 6C shows the calculated N_s vs. temperature curve for this sample. For the other PTCR samples, well-matched experimental and calculated curves were also obtained (not showed here). Table 3 summarises the room-temperature parameters calculated for the PTCR samples (P1, P2, P4, P5).

4. Discussion

4.1. Dependence of the Heywang–Jonker parameters on dopant characteristics

As shown in Table 3, an increase in the density of acceptor states (N_s) and in the potential barrier height with the dopant content is observed. This tendency shows an important dependence with the dopant concentration. Considering the possible defects in BaTiO_3 , the negative charge formed by titanium vacancies (V_{Ti}' , V_{Ti}'' , V_{Ti}''' , V_{Ti}''''), barium vacancies (V_{Ba}' , V_{Ba}'') and oxygen adsorbed, is compensated by a positive space region adjacent to the grain boundaries due to oxygen vacancies (V_{O} , V_{O}^{\bullet}), donor ions (Sb_{Ba} , Sb_{Ti} , or Nb_{Ti}). In

what follows, the influence of the additives on the defect concentration will be discussed.

Dopant concentration lead to different charge compensation mechanisms, as followed from EPR analyses. For low additive concentrations (0.05–0.15% mol) (see Table 2), vacancies formation is limited. In these cases, an electronic compensation regime prevails for both kinds of dopants, as it was proposed by Chan et al.^{24,25} and Sasaki et al.:²⁶

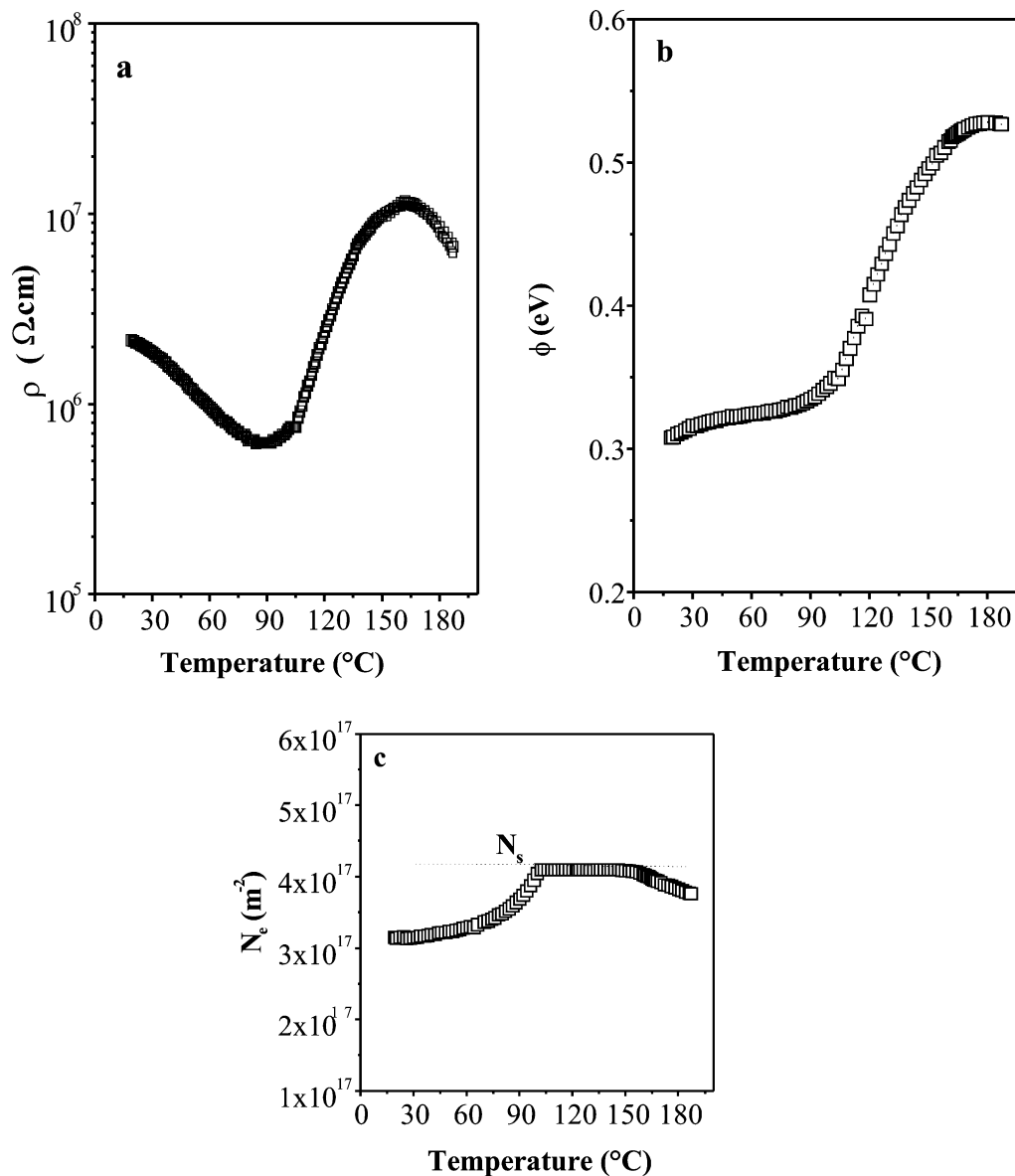
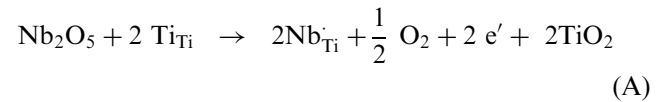
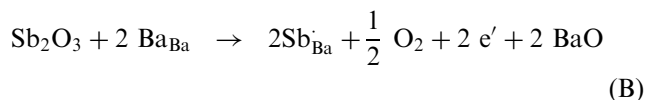
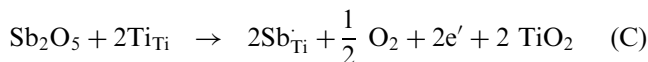


Fig. 6. Temperature dependence of the Heywang–Jonker parameters for sample P1; (a) Experimental and calculated $\rho(T)$ —temperature curve (b) calculated $e\phi$ —temperature curve (c) Calculated N_s —temperature curve.



If a possible stabilisation of antimony as Sb_2O_5 is also considered:



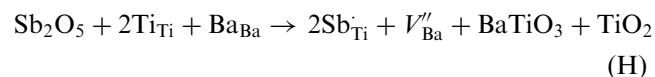
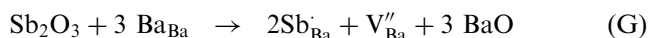
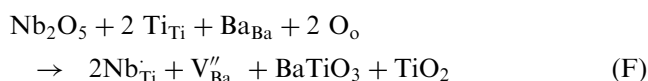
In Eqs. (A)–(C), Nb^{5+} , Sb^{5+} and Sb^{3+} are donor dopants in the BaTiO_3 lattice replacing Ti^{4+} and Ba^{2+} , respectively. However, Sb^{3+} also acts as acceptor dopant when replaces Ti^{4+} . This phenomenon yields to a high concentration of double-ionised oxygen vacancies for the lowest dopant concentration (0.05% mol), as follows:



where double-ionised oxygen vacancies trap electrons according to



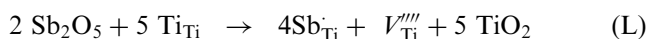
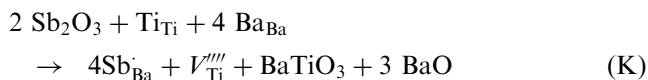
The following models proposed by Chan et al.^{24,25} for Nb-doped BaTiO_3 , and by Sasaki et al.²⁶ for Sb-doped BaTiO_3 , represent the feasible mechanisms of compensation in those regions with high donor dopant contents:



Double-ionised barium vacancies can transform into single ionised barium vacancies which is a paramagnetic specie:



Generation of titanium vacancies (V_{Ti}'''') is other possible mechanism proposed for the ionic compensation regime:



The existence of this titanium vacancy doping mechanism was widely cited, although not universally accepted. According to Chiou and Lin,²⁷ titanium vacancies are unfavourable defects since its high effective charge provokes a charge disturbance not easy to compensate in the BaTiO_3 lattice. On the other hand, Chan et al.²⁴ reported that titanium vacancies generation are preferred defects for compensation of donor dopants. Recently, Morrison et al.²⁸ determined that a mechanism of titanium vacancy compensation is favoured at high donor doping. Buscaglia et al.²⁰ reported the formation of titanium vacancies for high-dopant concentrations. From our experimental observations by EPR a signal corresponding to titanium vacancies increases with the doping level.

A clear tendency to titanium vacancy formation with increasing dopant content is observed for either Nb or Sb– BaTiO_3 systems. The dramatic increase in titanium vacancy content for the 0.60% Nb_2O_5 doped BaTiO_3 material (Table 2) is a strong evidence of the importance of Eqs. (J)–(L) at high doping level. The rejection of Ti and/or Ba out of the grains is produced, modifying the electrical response of the material.

A less noticeable increase in barium vacancies after doping with Sb_2O_3 is observed, owing to the dual behaviour of Sb^{3+} as acceptor dopant [see Eq. (D)]. Oxygen vacancies compensate the partial acceptor behaviour of Sb^{3+} ions in Sb_2O_3 -doped materials. Due

Table 3
Heywang–Jonker parameters

Sample	N_s (cm ⁻²)	N (cm ⁻³)	ρ_g (Ω .cm)	E_s (eV)	$e\phi$ (eV)	
					298 K	478 K
P1	4.1×10^{13}	1.237×10^{16}	1.010×10^3	1.18	0.31	0.43
P2	2.861×10^{14}	2.0×10^{17}	33	1.41	0.53	0.66
P4	3.01×10^{11}	1.375×10^{12}	9.1×10^6	0.8	<0.1	
P5	5.96×10^{12}	4.16×10^{14}	2.710×10^4	1.2	0.21	0.32

to the dual behaviour of Sb^{3+} , the actual donor concentration is lower than the nominal concentration and a charge compensation mechanism by oxygen vacancies (V_{O}) can also appear (see Table 2). Therefore, the low N_{s} values and the extremely low potential barriers at the grain boundaries of Sb_2O_3 -doped samples reflect this phenomenon.

4.2. Relationship between ρ_{g} and an electronic charge compensation mechanism in the grain bulk

As it is shown in Table 3, the grain bulk resistivity diminishes as the nominal dopant concentration increases. According to Desu et al.,^{29–33} for slightly doped BaTiO_3 the electron concentration increases linearly with the donor content until a critical concentration of dopant is reached. At high donor concentration, there is a shift from the electronic to an ionic vacancy compensation regime. In this work, this phenomenon could also be present along each grain with a gradual change in chemical, structural and electrical characteristics toward the grain bulk. This effect obeys to a differential dopant distribution along the BaTiO_3 grains leading to regions with different electrical properties, as it is evidenced by the dielectric constant-temperature curves (Figs. 4 and 5). In these materials, a transition from the electron compensation to vacancy compensation along each grain occurs. Slightly-doped BaTiO_3 (electronically compensated) prevails in the grain core leading to a low-resistive grain bulk.

In Sb_2O_3 -doped materials, the additional acceptor behaviour of Sb^{3+} ions contributes to a further increase of ρ_{g} as oxygen vacancies are created.

4.3. Microstructure characteristics and effective electrical resistivity of Nb_2O_5 and Sb_2O_3 -doped BaTiO_3

Despite the Sb_2O_3 -doped materials showed low potential barriers at room-temperature (Table 3), the effective resistivity values are significantly high (Fig. 3). Desu et al.^{32,33} calculated the effective resistivity considering the influence of the insulating region resistivity (ρ_{i}) and the thickness of the insulating region (l_{i}) on the effective grain resistivity (ρ_{effg}):

$$\rho_{\text{eff}} = \rho_{\text{effg}} + l_{\text{i}} \cdot \rho_{\text{i}} / R_2 \quad (8)$$

where R_2 is the grain radius.

It is assumed that the insulating region is built due to donor segregation phenomena. Because the ionic radius misfit in Ba^{2+} (0.134 nm)³⁴/ Sb^{3+} (0.076 nm),³⁴ Ti^{4+} (0.068 nm)³⁴/ Sb^{3+} (0.076 nm),³⁴ and Ti^{4+} (0.068 nm)³⁴/ Sb^{5+} (0.062 nm)³⁴ couples is higher than it in the Ti^{4+} (0.068 nm)³⁴/ Nb^{5+} (0.069 nm)³⁴ couple, the tendency of Sb^{3+} to segregate out of the BaTiO_3 lattice is higher. Sb^{3+} replaces Ba^{2+} and Ti^{4+} till the solubility limit is reached, then Sb^{3+} impurities are accumulated at the

grain boundaries, leading to the insulating region. In samples P4, P5 and P6, high Sb^{3+} incorporation and subsequent segregation at the grain boundaries lead to an enhanced insulating region. In addition to it, dopant segregation and vacancies formation pin grain boundaries mobility during sintering, inhibiting grain growth. As the effective electrical resistivity for PTCR- BaTiO_3 also depends on the density of grain boundaries in the total sample,^{12,13} The effective conductivity of the materials with a fine-grained matrix (P2, P3, P5, P6) is strongly reduced.

In this work, barium titanate-based materials revert to an insulating behaviour after doping with the highest concentration of Nb_2O_5 or Sb_2O_3 (0.60% mol). Considering the formation of resistive grain boundary layers, Desu and Payne explained the semiconducting-insulating transition in doped- BaTiO_3 .³³ These authors assumed an n-i-n structure at the grain boundaries of BaTiO_3 . According to these authors, high-resistivity values in GBBL materials depend on the relative thickness of the grain-boundary insulating layer, the space charge layer and the grain size. In the fine-grained materials studied in this work, results indicate a thick insulating layer at the grain boundaries against a very thin space charge layer. Consequently, polarisation in the grain bulk is not high enough to decrease the potential barriers at the grain boundaries.

5. Conclusions

The addition of small amounts of Nb_2O_5 or Sb_2O_3 to BaTiO_3 with lead to relatively low resistivity at room temperature and a PTCR effect at the Curie temperature.

In PTCR materials, a relationship between barium and titanium vacancy concentration and high Schottky barriers at grain boundaries was found. These results support the hypothesis of the apparition of a negatively layer at the grain boundaries due to the presence of barium and titanium vacancies and oxygen species. This layer is compensated by a positively charged region adjacent to the grain boundary. This region is mainly composed by oxygen vacancies and substitutional ions.

In BaTiO_3 doped with small amounts of Nb_2O_5 , higher potential barriers than those in Sb_2O_3 -doped ceramics are obtained. This phenomenon is associated to the donor character of Nb^{5+} . In Sb_2O_3 doping, an additional incorporation of Sb^{3+} as acceptor in the Ti sublattice led to oxygen vacancies creation mechanism in detriment of the electron generation regime.

High dopant concentrations lead to a GBBL behaviour in BaTiO_3 ceramics doped with Nb_2O_5 or Sb_2O_3 . In these materials, a thick layer rich in barium vacancies at the grain boundaries governs the effective resistivity of the ceramics.

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